



Quality Division Use Only

Quality Tracking #:	<input type="text" value="2018-067"/>	Classification:	<input type="text" value="Incident"/>
Non-Conformance Level:	<input type="text" value="N/A"/>	Section:	<input type="text" value="Biology/DNA"/>
Date of Discovery:	<input type="text" value="07/26/18"/>	Date of Incident:	<input type="text" value="07/26/18"/>

Forensic Case Number(s), if applicable:	Agency Case Number(s), if applicable:
025247800 2013-31991	025247800 069036495

Description of Non-conformance:
A Forensic Biology staff member's original interpretation of the legacy data was done in 2013 and he was authorized when making that interpretation. In 2017, he reinterpreted the data and that reinterpretation was outside of the laboratory's required two year window of proficiency test participation. Authorization to reinterpret legacy data is required by the laboratory's DNA General SOP section 4.9. This was an audit finding during the 2018 ANAB Accreditation Assessment.

Actions Taken:
The staff member and technical reviewer documented their reviews of the legacy validation data and the respective SOPs for each test kit and were formally authorized to reinterpret legacy data. Both cases were then reviewed by the staff member and the technical reviewer and no amendments were necessary. The reviews were documented electronically in the Porter Lee Laboratory Information Management System (LIMS) software.

Summary of Root Cause Analysis:
Note: Incidents are documented for tracking purposes and trend analysis. Root Cause Analysis is not required for incidents.



N/A

Additional Information/Follow-Up:

This was a departure from policy that did not impact the reported results.

Section Manager: Courtney Head

Date: 09/05/18

Division Director: Amy Castillo

Date: 09/05/18

Incidents or Corrective Actions that involve the Biology/DNA section are reviewed by the Technical Leader and CODIS Administrator.

Technical Leader: Robin Guidry

Date: 09/05/2018

CODIS Administrator: Jennifer Clay

Date: 09/05/2018

Quality Director: Lori Wilson

Date Closed: 09/05/18