



Quality Division Use Only

Quality Tracking #:	<input type="text" value="2018-035"/>	Classification:	<input type="text" value="Incident"/>
Non-Conformance Level:	<input type="text" value="N/A"/>	Section:	<input type="text" value="Quality"/>
Date of Discovery:	<input type="text" value="10/30/17"/>	Date of Incident:	<input type="text" value="01/29/14"/>

Forensic Case Number(s), if applicable:	Agency Case Number(s), if applicable:
2014-06027, 2014-06029, 2014-06026, 2014-06030, 2014-06031, 2014-06032, 2014-00002, 2014-00005, 2014-00001, 2014-00006, 2014-00008, 2014-00009	162586813, 000200114, 000060214, 000276714, 162426013, 000100514, 004824511, 021098714, 002315414, 021293314, 021376514, 021539914

Description of Non-conformance:

The purpose of this incident report is to document a sequencing number issue in the Laboratory Information Management System (LIMS) in 2014, where the forensic case numbers (FCN) for several cases were changed and were then reassigned to other cases. The six cases listed below were analyzed or an analysis disposition was reported prior to this issue being resolved. Therefore, the administrative and examination records, evidence identifiers (if analyzed), and test reports contain the FCN originally assigned to these cases rather than the final assigned FCN. The previously released test reports will not be amended nor will the evidence and paperwork be updated with the final FCN because the previously assigned FCN was correct at the time the analysis and/or report was completed.

Section Reports	Agency Incident Number	Original FCN assignment	Final assigned FCN
Toxicology	162586813	2014-00002	2014-06027
Toxicology	000200114	2014-00005	2014-06029
Toxicology	000060214	2014-00001	2014-06026
Toxicology	000276714	2014-00006	2014-06030
Seized Drugs	162426013	2014-00008	2014-06031
Seized Drugs	000100514	2014-00009	2014-06032

The "original FCNs" listed above were reassigned in LIMS to the following cases. These cases were analyzed and reported under the Final FCN assignment. These reports also reference the agency's incident number.

Section Reports	Agency Incident Number	Final FCN assignment (Re-assigned FCN)
NIBIN	004824511	2014-00002
NIBIN	021098714	2014-00005
NIBIN	002315414	2014-00001
NIBIN	021293314	2014-00006
NIBIN/Latent Prints	021376514	2014-00008
NIBIN	021539914	2014-00009



When these cases are searched in LIMS using only the FCN, the query does not return to both cases. It links only to the agency incident number correlated to the final FCN. This incident report serves as a reference guide for these FCN LIMS queries. All these cases were analyzed/reported under the correct agency incident number regardless of the forensic case number assigned. This FCN reassignment did not have a technical impact on the analysis nor on the reported information.

Actions Taken:

IT queried cases from 2009 to present to ensure this sequencing issue did not affect any cases other than those listed above.

This Quality Incident report will be added to the case records of all twelve cases and will serve as documentation to explain the difference between the assigned LIMS FCN and the FCN documented in the case record. It will also serve as a reference guide for determining the final reassigned FCNs.

Summary of Root Cause Analysis:

Note: Incidents are documented for tracking purposes and trend analysis. Root Cause Analysis is not required for incidents.

N/A

Additional Information/Follow-Up:

The FCN case assignment and reassignment information documented in this incident report was obtained from the LIMS audit trail log.

Section Manager: Lori Wilson

Date: 07/03/18

Division Director: Lori Wilson, Amy Castillo

Date: 07/03/18



Incidents or Corrective Actions that involve the Biology/DNA section are reviewed by the Technical Leader and CODIS Administrator.

Technical Leader: N/A Date: _____
CODIS Administrator: N/A Date: _____
Quality Director: Lori Wilson Date Closed: 07/05/18