



HOUSTON FORENSIC SCIENCE CENTER CORRECTIVE ACTION REPORT

QUALITY DIVISION USE ONLY

Quality CAR #

Date Submitted: JHM

Non-Conformance Level

Date Closed: JHM

Date of this Report:

Division:

FCN:
(If applicable)

Date of Incident:

Section:

Description of Discrepancy/Non-conformance:

On 2/17/16, the case record for 2014-16188 was pulled by an analyst to review in preparation for a pre-trial conference. Upon review, the analyst discovered that the reported results did not reflect the analytical conclusions documented in the case file. The analysis of the submitted substance concluded that it contained methamphetamine; however, the substance was reported to have contained cocaine.

Actions Taken:

On 2/17/16 the analyst notified the Section Manager and called the ADA for notification of the issue. The original report was corrected and a copy of the case file including the amended and original reports was emailed to the ADA.

A review of case reports completed by the analyst during the same month (August 2014) will be conducted. In addition, a review of case reports completed by the technical/administrative reviewer during the same month will be conducted.

If not discovered at this point, where else in the process would this incident have been discovered?

JHM Click here to enter text. The transcription error made in documenting the analytical results on the report could have been noted during the technical/administrative review conducted prior to closing the final report.

Technical Personnel: Antavia Barker

Date: 2/24/16

Personnel: Rosaura Rodriguez

Date: 2/24/16

Section Manager: J.T. Miller

Date: 2-29-16

CODIS Administrator (if applicable): n/a - JHM

Date: —

Division Director: Shirley Rice

Date: 3-11-16

Rec'd 2-29-16
JHM



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Summary of Root Cause Analysis:

A total of 70 case records were reviewed by the Quality Division. The case record review focused on a combination of cases completed by the analyst and the administrative and technical reviewer the same month (August 2014) that this transcription error occurred.

The Quality Division did not find any transcription discrepancies between the test reports and examination records that would question the analyst's work product reports nor the case reviewer's reviewing process. Since this was determined to be a one-time occurrence, the Quality Division will not proceed with the root cause analysis for this corrective action.

Refer to Case review Memo dated February 23, 2016 for more information.

Additional Information/Follow-Up:

The original report was issued on 8/13/14 prior to the 3/30/15 implementation of the policy that different people will perform technical and administrative reviews.

3/4/16 See email to J. Kip asking for info on the notification to attorneys listed below. JWBpm

3/16/16 See email from J. Allen discussing notification to DAO on 3/17.

3-11-16 It is recommended that Dick Bax and Tom Allen be notified of this error in addition to AOA. See memo 3/11/16

Quality Director: JWBpm

Date: 3/18/2016

rec'd on 3/14/16

3/30/2016 CAR copied to DAO. JWB



Houston Forensic Science Center
INTEROFFICE MEMO

To: Irma Rios, FAD Director *Irma Rios 3-11-16*
James Miller, Controlled Substances Manager *J. Miller 2-29-16*

From: Callan Hundl, Quality Associate *Callan Hundl 2-24-16*
Jackeline Moral, Quality Specialist *Jack Moral 2/23/16*

Date: February 23, 2016

Re: CAPA #2016-016

As a result of CAPA 2016-016, a total of 70 case records from the Controlled Substance section were reviewed by the Quality Division. The case record review focused on a combination of cases completed by the analyst and the administrative and technical reviewer the same month (August 2014) that this transcription error occurred. The purpose of this case record review was to determine if this transcription error was systemic or a one-time occurrence from both the analyst and the case record reviewer. This review focused on the transfer of results from examination worksheets to the test report to ensure that it was accurate and the data from these records supported the results.

A total of 70 case records were reviewed by the Quality Division, refer to Appendix A for a list of these case records. This quality case record review found that there was no discrepancies on the test reports and the examination records to indicate this was systemic.

Conclusion

The Quality Division did not find any transcription discrepancies between the test reports and examination records that would question the analyst's work product reports nor the case reviewer's reviewing process. On 03/30/2015, the Quality Manual implemented a policy in which all of the technical sections must have different people perform technical and

administrative reviews. Since this was determined to be a one-time occurrence and occurred prior to the implementation of this policy, the Quality Division will not proceed with the root cause analysis for this corrective action. In addition, the report for the affected case was corrected and amended. The ADA was notified of this issue and a copy of both the original and the amended report were emailed.

Appendix A

FCN	TR	Analyst	Results and Report Transcribed correctly	Reviewed by
2014-13989	RR	N/A	Yes	CMH
2014-14075	RR	N/A	N/A, no analysis performed on evidence	CMH
2014-13188	RR	N/A	Yes	CMH
2014-10576	RR	N/A	Yes	CMH
2014-15817	RR	N/A	Yes	CMH
2014-15783	RR	N/A	Yes	CMH
2014-13606	RR	N/A	Yes	CMH
2014-13607	RR	N/A	Yes	CMH
2014-13613	RR	N/A	Yes	CMH
2014-14728	RR	N/A	Yes	CMH
2014-14764	RR	N/A	Yes	CMH
2014-12651	RR	N/A	Yes	CMH
2014-12677	RR	N/A	Yes	CMH
2014-13331	RR	N/A	Yes	CMH
2014-15548	RR	N/A	N/A, no analysis performed on evidence	CMH
2014-14363	RR	N/A	Yes	CMH
2014-14345	RR	N/A	Yes	CMH
2014-12172	RR	N/A	Yes	CMH
2014-12230	RR	N/A	Yes	CMH
2014-12243	RR	N/A	Yes	CMH
2014-11959	RR	N/A	Yes	CMH
2014-11891	RR	N/A	Yes	CMH
2014-13064	RR	N/A	Yes	CMH
2014-15290	RR	N/A	Yes	CMH
2013-31897	RR/AB/JM	N/A	Yes	CMH
2013-25139	RR/KH	N/A	Yes	CMH
2013-33827	RR	N/A	N/A, no analysis performed on evidence	CMH
2014-16724	RR	N/A	Yes	JHM
2014-17095	RR	N/A	Yes	JHM
2014-17362	RR	N/A	Yes	JHM
2014-16842	RR	N/A	Yes	JHM
2014-16685	RR	N/A	Yes	JHM
2014-16384	RR	N/A	Yes	JHM
2014-14633	RR	N/A	Yes	JHM
2014-14644	RR	N/A	Yes	JHM
2014-14417	RR	N/A	Yes	JHM
2014-14434	RR	N/A	N/A, no analysis performed on evidence	JHM
2014-15146	RR	N/A	N/A, no analysis performed on evidence	JHM
2014-15139	RR	N/A	N/A, no analysis performed on evidence	JHM
2014-14579	RR	N/A	N/A, no analysis performed on evidence	JHM
2014-12910	RR	N/A	Yes	JHM
2014-11003	RR	N/A	N/A, no analysis performed on evidence	JHM
2014-16291	RR	AB	Yes	JHM
2014-14993	RR	AB	Yes	JHM
2014-16165	RR	AB	Yes	JHM
2014-16214	RR	AB	Yes	JHM
2014-15794	RR	AB	Yes	JHM
2014-00814	RR	AB	Yes	JHM
2014-13900	RR	AB	Yes	JHM
2014-16146	RR	AB	Yes	JHM
2014-14053	JTM	AB	Yes	JHM
2014-15193	KH	AB	Yes	JHM
2014-14482	JTM	AB	Yes	JHM
2014-16773	KH	AB	Yes	JHM
2014-16829	JTM	AB	Yes	JHM
2014-16836	JTM	AB	Yes	JHM
2014-16845	JTM	AB	Yes	JHM
2014-02551	JTM	AB	Yes	JHM
2014-14333	JTM	AB	Yes	JHM
2014-15702	JTM	AB	Yes	JHM
2014-15706	KH	AB	Yes	JHM
2014-16607	JTM	AB	Yes	JHM
2014-16632	JTM	AB	Yes	JHM
2014-16634	JTM	AB	Yes	JHM
2014-16659	KH	AB	Yes	JHM
2014-16675	KH	AB	Yes	JHM
2014-13470	JTM	AB	Yes	JHM
2014-15896	JTM	AB	Yes	JHM
2014-14939	KH	AB	Yes	JHM
2014-14793	JTM	AB	Yes	JHM
2014-16495	KH	AB	Yes	JHM
2014-16528	BT	AB	Yes	JHM